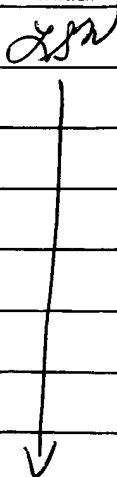| Substitute Form PTO-1449 (modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07575-032002	Application No. 10/800,109
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Mercier et al.	
		Filing Date March 12, 2004	Group Art Unit 2186

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
✓	AA	4,400,778	08/1983	Vivian et al.	710	1	
	AB	5,649,152	07/1997	Ohran et al.	711	114	
	AC	5,819,292	10/1998	Hitz et al.	707	203	
	AD	5,835,953	11/1998	Ohran	711	162	
	AE	5,913,028	06/1999	Wang et al.	709	203	
	AF	6,038,639	03/2000	O'Brien et al.	711	114	
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	AH	6,078,932	06/2000	Haye et al.	707	204	
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	AK	6,205,479	03/2001	Dulai et al.	709	225	
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✓	AM	6,256,739	07/2001	Skopp et al.	713	201	

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
✓	AN	Aho, A.V., Hopcraft, J.E., and Ullman, J.D., "Data Structures and Algorithms", Reading:Addison-Wesley, 1983, pp. 135-145.
	AO	Peterson, J.L. and Silberschatz, A., "Operating System Concepts", Reading: Addison-Wesley, 1983, pp 12-15.
	AP	Scwartz, A.M., et al., "LFS – A Local File System for Multiprocessor NFS Network Servers", Auspex Systems, Inc. Technical Report, December 4, 1989.
	AQ	Network Appliance, Inc., "Network Appliance™ SnapMirror®Software", downloaded from netapp.com, pamphlet DS-236 Rev. March 2002.
	AR	Brown, K. et al., "SnapMirror and SnapRestore: Advance in Snapshot Technology", Network Appliance, Inc. Technical Report TR-3043, undated.
	AS	Hitz, D., revised March Marchi, M., "A Storage Networking Appliance", Network Appliance, Inc. Technical Report TR-3001, Rev. October 2000.
	AT	Business Wire, "Network Appliance Announces New Enterprise-Ready Data ONTAP Software", April 26, 1999.
✓	AU	Watson, A. and Benn, P., "Multiprotocol Data AccessL NFS, CIFS and HTTP", Network Appliance, Inc. Technical Report TR-3014, Rev. May 3, 1999.

Examiner Signature	Date Considered
[signature]	29 April 2005

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07575-032002		Application No. 10/800,109
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Mercier et al.		
		Filing Date March 12, 2004		Group Art Unit 2186

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
[initials]	AV	Hutchinson, N.C. et al., "Logical vs Physical File System Backup", Proceedings of the 3rd Symposium on Operating Systems Design and Implementation (OSDI '99), February 1999, pp. 239-249.
	AW	Brown, A. et al., "ISTORE: Introspective Storage for Data-Intensive Network Services", University of California, Berkeley, Computer Science Division, Report CSD-98-1030, December 23, 1998.
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	AY	Hitz, D. et al., "File System Design for an NFS Files Server Appliance", Network Appliance, Inc. Technical Report 3002, Rev. C, March 1995.
[initials]	AZ	Hitz, D., "An NFS File Server Appliance", Network Appliance Corporation, Technical Report TR01, August 1993.

Examiner Signature *[signature]*	Date Considered 29 April 2005

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)